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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/789,364	02/27/2004	William E. Glenn	FAU-02-17

CONFIRMATION NO. 5138

## FORMALITIES LETTER



\*OC00000012708003\*

Martin Novack  
 16355 Vintage Oaks Lane  
 Delray Beach, FL 33484

Date Mailed: 05/19/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$350** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$800** for a Small Entity

- |  |                                      |           |
|--|--------------------------------------|-----------|
| • <b>\$385</b> Statutory basic filing fee.                           | 07/21/2004 WASFAW1 00000005 10789364 |           |
| • <b>\$65</b> Late oath or declaration Surcharge.                    | 01 FC:2001                           | 385.00 OP |
|  | 02 FC:2051                           | 65.00 OP  |
|  | 03 FC:2201                           | 215.00 OP |
| • Total additional claim fee(s) for this application is <b>\$350</b> | 04 FC:2202                           | 135.00 OP |

- \$215 for 5 independent claims over 3.
- \$135 for 15 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

RASBayer  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No. FAU-02-17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): William E. Glenn

Group: 2851

Serial No. : 10/789,364

Filed : February 27, 2004

For : DISPLAYS USING SOLID STATE LIGHT SOURCES

RESPONSE TO FORMALITIES LETTER, SUBMITTING  
DECLARATION, ASSIGNMENT, AND FEES

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 15, 2004

(Date of Deposit)

Martin Novack  
Name of applicant, assignee, or registered rep.

Martin Novack  
Signature

July 15, 2004  
Date

Sir:

This is responsive to the Formalities Letter dated May 19, 2004.

Small entity status has been claimed.

Transmitted herewith is the executed Declaration for the above-identified application, along with payment for the surcharge of \$65 (small entity) for filing the Declaration later than the filing date of the application, the filing fee of \$385 (small entity) and additional claims fee of \$350.

Also attached, for recording, is an Assignment of the invention to Florida Atlantic University, along with Cover Sheet Form PTO-1595 and payment of the recording fee of

\$40. Please record the Assignment, and return the document to the undersigned Counsel.

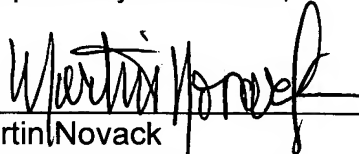
Also attached is a copy of Notice To File Missing Parts Of Nonprovisional Application (Formalities Letter) setting forth the requirement for the Declaration, the filing fees and the surcharge.

A check for \$840 covers the above indicated fees of \$65, \$385, \$350 and \$40.

If any further filing or recording fees are required, please charge them to Deposit Account No. 14-1435.

Delray Beach, FL 33484  
Tel. (561) 498-4706  
Fax. (561) 498-4027  
July 15, 2004

Respectfully submitted,

  
\_\_\_\_\_  
Martin Novack  
Attorney for Applicant(s)  
(Reg. No. 25,164)

(J-36)